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JC962 U.S. PTO

Practitioner's Docket No. 782\_141

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on December 15, 2000 under "EXPRESS MAIL" mailing label number **EL692672925US**.  
*Elizabeth A. VanAntwerp*  
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jc941 U.S. PTO  
09/738174  
12/15/00

Transmitted herewith for filing is the patent application of:

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- Inventor 3: Kenji AOKI  
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Title: TRAVELING WAVE OPTICAL MODULATORS

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 14 Page(s) of Specification  
2 Page(s) of Claims  
1 Page(s) of Abstract  
4 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data  
☒ A Preliminary Amendment  
☒ A combined Declaration and Power of Attorney  
☒ A Claim for Priority and a certified copy of 11-355,335 filed December 15, 1999 in Japan  
☒ An Information Disclosure Statement  
☒ PTO Form-1449  
☒ An Assignment Transmittal and Assignment of the invention to NGK Insulators, Ltd.

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## 2. Small Entity Status

☐ Applicant claims small entity status. See 37 CFR § 1.27.

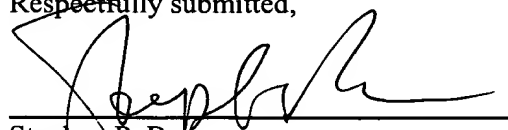
## 3. The filing fee has been calculated as shown below:

A.	Filing Fee	
	<input checked="" type="checkbox"/> Original Patent Application	\$ <u>710.00</u>
	<small>(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)</small>	
B.	Fees for Claims (6 Claims; 1 Indep.)	
	<input type="checkbox"/> each independent claim in excess of 3	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)</small>	
	<input type="checkbox"/> each claim in excess of 20	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)</small>	
	<input type="checkbox"/> multiple dependent claim(s)	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)</small>	
C.	<input checked="" type="checkbox"/> Assignment Fee	\$ <u>40.00</u>
<b>Total Fees Due</b>		<b>\$ 750.00</b>

## 4. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☒ A check in the amount of \$750.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 Stephen P. Burr  
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December 15, 2000

Date

SPB/eav

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